

ICFP M1 - PHASE TRANSITIONS – TD n° 5  
Real-Space Renormalization Group

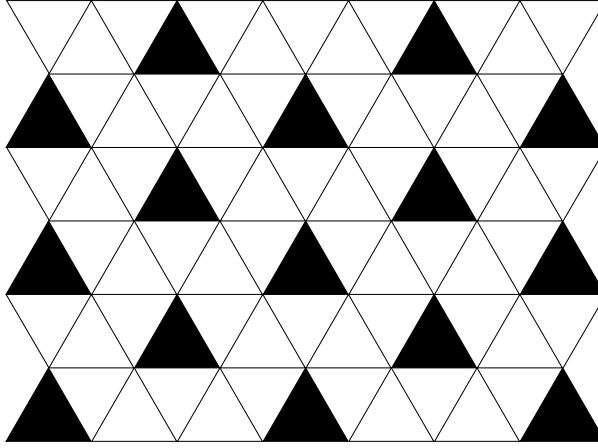
Baptiste Coquinot, Guilhem Semerjian  
*baptiste.coquinot@ens.fr*

2023-2024

We shall consider a system of  $N$  Ising spins whose global configuration will be denoted  $\underline{\sigma} = (\sigma_1, \dots, \sigma_N)$ , interacting according to the Hamiltonian

$$H(\underline{\sigma}) = -N \frac{J_0}{\beta} - \frac{J_1}{\beta} \sum_{\langle i,j \rangle} \sigma_i \sigma_j - \frac{J_2}{\beta} \sum_{i=1}^N \sigma_i, \quad (1)$$

where the  $\langle i, j \rangle$  stand for the pairs of nearest neighbors on a bidimensional triangular lattice :



The distance between two nearest neighbors is denoted  $a$ .

In this exercise we shall study a transformation of the real-space renormalization group that consist in diminishing the number of degrees of freedom of the system by the introduction of new spins, each of them representing the state of a block of several original spins. The black triangles (blocks) of the figure are indexed by  $\alpha = 1, \dots, \hat{N}$ , and we denote  $i(\alpha), j(\alpha), k(\alpha)$  the three sites at the vertices of the triangle  $\alpha$ . A new Ising spin  $\hat{\sigma}_\alpha$  is placed at the center of each black triangle, and to each configuration  $\underline{\sigma}$  of the original spins one associates a configuration  $\hat{\underline{\sigma}} = (\hat{\sigma}_1, \dots, \hat{\sigma}_{\hat{N}})$  of the spins of the new lattice, according to the majority rule inside each block :

$$\hat{\sigma}_\alpha = \text{sign} (\sigma_{i(\alpha)} + \sigma_{j(\alpha)} + \sigma_{k(\alpha)}) . \quad (2)$$

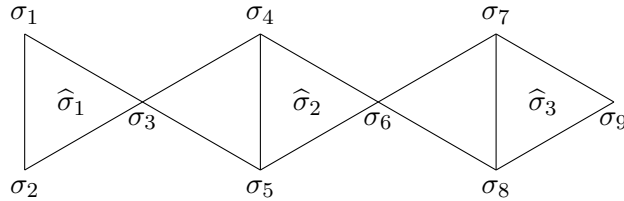
You will convince yourself that each spin  $\sigma_i$  belongs to one and only one block.

1. What is the distance  $\hat{a}$  between nearest neighbors in the new triangular lattice formed by the blocks  $\alpha$  ? We shall denote  $b = \hat{a}/a$  the scale factor of the transformation. What is the number  $\hat{N}$  of spins in the new lattice ? We call  $C(\hat{\underline{\sigma}})$  the set of configurations  $\underline{\sigma}$  of the original system that lead to the configuration  $\hat{\underline{\sigma}}$  by the decimation rule (2). What is the cardinality of  $C(\hat{\underline{\sigma}})$  ?
2. An Hamiltonian  $\hat{H}(\hat{\underline{\sigma}})$  on the configurations of the new spins is defined according to :

$$\hat{H}(\hat{\underline{\sigma}}) = -\frac{1}{\beta} \ln \left[ \sum_{\underline{\sigma} \in C(\hat{\underline{\sigma}})} e^{-\beta H(\underline{\sigma})} \right] . \quad (3)$$

Compare the partition functions computed from  $H$  and  $\hat{H}$ . Interpret the probability of a configuration  $\hat{\underline{\sigma}}$  in the Gibbs-Boltzmann law associated to  $\hat{H}$ .

3. In general the exact computation of the Hamiltonian  $\widehat{H}$  after the decimation is impossible. To convince oneself of this fact and to understand some properties of the decimation we shall consider, in this question only, a small portion of the triangular lattice made of three blocks :



- (a) Write down the most general possible form of  $\widehat{H}(\widehat{\sigma}_1, \widehat{\sigma}_2, \widehat{\sigma}_3)$  as a polynomial in the  $\widehat{\sigma}_i$  with terms of degree at most 1 in each variable.
- (b) Express formally the coefficients of  $\widehat{H}$  in terms of  $H(\sigma_1, \dots, \sigma_9)$ , in such a way that they could be computed with a symbolic computation software, MATHEMATICA for instance.
- (c) Do you think that some terms of this expansion vanish ?

There is hence no hope to obtain an useful exact form for  $\widehat{H}(\widehat{\sigma})$ . To bypass this difficulty we shall look for an approximation of  $\widehat{H}(\widehat{\sigma})$  with the same form as  $H(\underline{\sigma})$ , inspired by the variational method.

4. Consider an arbitrary trial Hamiltonian  $H_0(\underline{\sigma})$  (on the initial spins). For each configuration  $\widehat{\sigma}$  of the decimated spins one introduces an average over the configurations of the initial spins as :

$$\langle \bullet \rangle_{0, \widehat{\sigma}} = \frac{1}{Z_0(\widehat{\sigma})} \sum_{\underline{\sigma} \in C(\widehat{\sigma})} \bullet e^{-\beta H_0(\underline{\sigma})}, \quad Z_0(\widehat{\sigma}) = \sum_{\underline{\sigma} \in C(\widehat{\sigma})} e^{-\beta H_0(\underline{\sigma})}. \quad (4)$$

Show that

$$e^{-\beta \widehat{H}(\widehat{\sigma})} = Z_0(\widehat{\sigma}) \langle e^{-\beta(H(\underline{\sigma}) - H_0(\underline{\sigma}))} \rangle_{0, \widehat{\sigma}}, \quad (5)$$

and deduce from this fact the following upperbound on  $\widehat{H}$  :

$$\widehat{H}(\widehat{\sigma}) \leq -\frac{1}{\beta} \ln Z_0(\widehat{\sigma}) + \langle H(\underline{\sigma}) - H_0(\underline{\sigma}) \rangle_{0, \widehat{\sigma}}. \quad (6)$$

5. We shall take as a trial Hamiltonian

$$H_0(\underline{\sigma}) = -N \frac{J_0}{\beta} - \frac{J_1}{\beta} \sum_{\alpha=1}^{\widehat{N}} \sum_{\langle i, j \rangle \in \alpha} \sigma_i \sigma_j, \quad (7)$$

where the sum on  $\langle i, j \rangle \in \alpha$  involves the three edges inside the triangle  $\alpha$ . Compute  $Z_0(\widehat{\sigma})$ . Show that

$$\langle \sigma_i \rangle_{0, \widehat{\sigma}} = \frac{e^{3J_1} + e^{-J_1}}{e^{3J_1} + 3e^{-J_1}} \widehat{\sigma}_{\alpha(i)}, \quad (8)$$

where  $\alpha(i)$  is the block to which the site  $i$  belongs. What is the value of  $\langle \sigma_i \sigma_j \rangle_{0, \widehat{\sigma}}$  if  $i$  and  $j$  belong to two different blocks ?

6. Deduce then the value of  $\langle H(\underline{\sigma}) - H_0(\underline{\sigma}) \rangle_{0, \widehat{\sigma}}$ , and show that

$$\widehat{H}(\widehat{\sigma}) \leq -\widehat{N} \frac{\widehat{J}_0}{\beta} - \frac{\widehat{J}_1}{\beta} \sum_{\langle \alpha, \beta \rangle} \widehat{\sigma}_\alpha \widehat{\sigma}_\beta - \frac{\widehat{J}_2}{\beta} \sum_{\alpha=1}^{\widehat{N}} \widehat{\sigma}_\alpha, \quad (9)$$

where  $\langle \alpha, \beta \rangle$  denote the pairs of nearest neighbors blocks in the new lattice, and where the new coupling constants (within this approximation) are given by :

$$\begin{aligned}\widehat{J}_1 &= 2 J_1 \left( \frac{e^{3J_1} + e^{-J_1}}{e^{3J_1} + 3 e^{-J_1}} \right)^2 \\ \widehat{J}_2 &= 3 J_2 \left( \frac{e^{3J_1} + e^{-J_1}}{e^{3J_1} + 3 e^{-J_1}} \right) \\ \widehat{J}_0 &= 3 J_0 + \log(e^{3J_1} + 3 e^{-J_1})\end{aligned}\quad (10)$$

7. What are the fixed points  $(J_1^*, J_2^*)$  of the flow of the coupling constants  $(J_1, J_2) \rightarrow (\widehat{J}_1, \widehat{J}_2)$  ? Study their stability, by computing the matrix  $M_{ij} = \frac{\partial \widehat{J}_i}{\partial J_j}$ .
8. The eigenvalues  $\lambda_{1,2}$  of the matrix  $M$ , at the non-trivial fixed point, will be written  $\lambda_i = b^{y_i}$ . Compute  $y_{1,2}$  and then the critical exponents using the following relations that will be derived in the lecture next week,

$$\alpha = 2 - \frac{d}{y_1}, \quad \beta = \frac{d - y_2}{y_1}, \quad \gamma = \frac{2y_2 - d}{y_1}, \quad \delta = \frac{y_2}{d - y_2}, \quad \nu = \frac{1}{y_1}, \quad \eta = d + 2 - 2y_2. \quad (11)$$

Compare them to the exactly known values in dimension  $d = 2$ ,

$$\alpha = 0, \quad \beta = \frac{1}{8}, \quad \gamma = \frac{7}{4}, \quad \delta = 15, \quad \nu = 1, \quad \eta = \frac{1}{4}, \quad (12)$$

that follow from  $y_1 = 1, y_2 = \frac{15}{8}$ .